

1. RGESS offers a rebate of _____ for investment up to _____ leading to a maximum rebate of _____

<input type="radio"/> (A) 25%, Rs 100,000, Rs 25,000	<input type="radio"/> (B) 50%, Rs 100,000, Rs 50,000
<input type="radio"/> (D) 25%, Rs 50,000, Rs 12,500	<input type="radio"/> (C) 50%, Rs 50,000, Rs 25,000
2. The auditor of the scheme and auditor of AMC have to be same. This statement is

<input type="radio"/> (A) True	<input type="radio"/> (B) False
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3. The transaction period for an interval scheme has to be open for minimum for ____ days

<input type="radio"/> (A) 2	<input type="radio"/> (B) 5
<input type="radio"/> (D) 4	<input type="radio"/> (C) 7
4. The difference between the performance of a fund and its index is called _____

<input type="radio"/> (A) Standard deviation	<input type="radio"/> (B) Tracking error
<input type="radio"/> (D) Alpha	<input type="radio"/> (C) Variance
5. The “new cadre of distributors” cannot distribute _____ scheme

<input type="radio"/> (A) Equity	<input type="radio"/> (B) Debt
<input type="radio"/> (D) Hybrid	<input type="radio"/> (C) All of the above
6. An individual unit holder who intends to hold his investment in non-equity scheme for more than 3 years will prefer the _____ option as he will end up decreasing his tax liability

<input type="radio"/> (A) Dividend	<input type="radio"/> (B) Growth
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7. Investors who do not agree to the change in _____ attributes are given an option to redeem their units without exit load

<input type="radio"/> (A) Technical	<input type="radio"/> (B) Non mandatory
<input type="radio"/> (D) Fundamental	<input type="radio"/> (C) All of the above
8. A Riskometer represents _____ risk levels

<input type="radio"/> (A) 4	<input type="radio"/> (B) 5
<input type="radio"/> (D) 6	<input type="radio"/> (C) All of the above

9. Mr A an investor has bought 100 units @ Rs 10 each and the NAV of the scheme goes up to Rs 12. The trail commission is payable to the distributor of this scheme to Mr A on
- (A) Rs 1000 (B) Rs 200
(D) Rs 1200 (C) None of the above
10. SEBI regulations permit maximum exit load of
- (A) 2% (B) 5%
(D) 4% (C) 7%
11. The exit load may be higher for shorter holding period and may not be charged for longer holding periods. Such structures are called
- (A) CDSC (B) NAV
(D) MTM (C) ISC
12. The management and advisory fee charged by the AMC for managing unclaimed amounts shall be capped at _____ bps
- (A) 25 (B) 75
(D) 50 (C) 100
13. Yash, an investor, gives an instruction stating that if the equity scheme generates 18% return, then the returns need to be transferred to debt scheme. "18 % return" is the
- (A) Switch (B) STP
(D) Trigger (C) SWP

